

**Climate moderates potential shifts in streamflow from changes in pinyon-juniper woodland cover across the western U.S.**

Journal:	<i>Hydrological Processes</i>
Manuscript ID	Draft
Wiley - Manuscript type:	Research Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Niemeyer, Ryan; University of Idaho, Water Resources Link, Timothy; University of Idaho, Forest Resources Heinse, Robert; University of Idaho, Plant, Soil and Entomological Sciences Seyfried, Mark; USDA-Agricultural Research Service, Northwest Watershed Research Center;
Keywords:	pinyon-juniper, woody plant encroachment, evapotranspiration, streamflow, conceptual runoff model, western U.S.

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4 1 **Climate moderates potential shifts in streamflow from changes in pinyon-**  
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6 2 **juniper woodland cover across the western U.S.**  
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36  
37 15 Financial support was provided by the National Science Foundation's IGERT (Awards 0903479  
38 16 and 1249400) and CBET (Award 0854553) programs, and the Department of the Interior  
39 17 Northwest Climate Science Center (NWCSC) Doctoral Fellowship and Cooperative Agreement  
40 18 G14AP00153 from the United States Geological Survey (USGS).  
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45 22 also may be suitable.  
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4 26 **Abstract**

7 27 Pinyon-juniper (PJ) cover has increased up to 10-fold in many parts of the western U.S. in the  
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9 28 last 140+ years. The impacts of these changes on streamflows are unclear and may vary  
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11 29 depending on the intra-annual distribution and amount of precipitation. Given the importance of  
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13 30 streamflow in the western U.S., it is important to understand how shifts in PJ woodland cover  
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15 31 may produce changes in streamflow across the region's diverse hydroclimates. To this end, we  
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17 32 simulated the land surface water balance with contrasting woodland and grassland cover with the  
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19 33 Hydrologiska Byråns Vattenbalansavdelning (HBV) model at a 4 km resolution across the  
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21 34 distribution of PJ woodlands in the western U.S. We used shifts in evapotranspiration (ET)  
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23 35 between woodland and grassland cover as a proxy for potential changes in streamflows.  
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25 36 Comparison of HBV model results with paired catchment studies indicated the model reasonably  
26  
27 37 simulated annual decreases in ET with changes from woodland to grassland cover. For the  
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29 38 northern and western ecoregions of the PJ distribution in the western U.S. where precipitation  
30  
31 39 predominantly occurs in the winter, HBV simulated a 25 mm (37%) annual decrease in ET with  
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33 40 conversion to grassland from woodland. Conversely, in southern ecoregions of PJ distribution  
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35 41 with prominent summer monsoons, annual differences in ET were only 6 mm (19%). Our results  
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37 42 suggest that only 29% of the PJ distribution, compared to an estimated 45% based on  
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39 43 precipitation amount alone, has the potential for meaningful increases in streamflow with land  
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41 44 cover change from woodland to grassland.

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45 45 **Key words:** pinyon-juniper, woody plant encroachment, evapotranspiration, streamflow,  
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47 46 conceptual runoff model, western U.S. land cover change

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## 1. Introduction

Woodland encroachment into shrublands or grasslands is a global phenomenon (Ghersa *et al.*, 2002; Lunt *et al.*, 2010, Eldridge *et al.*, 2011). In much of the western U.S. (i.e. continental U.S. west of 100° longitude) specifically, pinyon-juniper (PJ) woodlands have expanded 10-fold in the last 140+ years and are currently the largest forest cover class in the region (Tausch *et al.*, 1981; Miller *et al.*, 2005; Romme *et al.*, 2009). This expansion is primarily attributed to expansion in grazing (Oliphant, 1968; Miller *et al.*, 1994) and fire suppression (Romme *et al.*, 2009). PJ cover has also been reduced in some areas due to PJ die-off (Breshears *et al.*, 2005) and large-scale PJ removal (e.g. Bureau of Land Management, 2015). Some research suggests a reduction in PJ cover will augment streamflow, whereas others note a lack of evidence for this conclusion (Belsky, 1996; Roundy and Vernon, 1999; Huxman *et al.*, 2005; Wilcox *et al.*, 2006; Ffolliott and Gottfried, 2012). The importance of streamflow in the semi-arid western U.S. necessitates a better understanding of how shifts in PJ woodland cover may result in changes in streamflow across dissimilar hydroclimates.

Assertions that loss of PJ canopy cover will produce changes in streamflow are typically based on process-based evidence at small (i.e. 1 - 10 m<sup>2</sup>) scales. When considering this small scale process-based evidence, we would expect PJ expansion into grass or shrublands to reduce streamflow due to increases in evaporation of canopy-intercepted water and transpiration. For example, tree level PJ interception loss was found to range from 14% to 58% of incoming precipitation (Collings, 1966; Eddleman, 1986; Eddleman and Miller, 1991; Larsen, 1993; Owens *et al.*, 2006; Niemeyer *et al.*, 2016). Niemeyer *et al.* (2016) simulated water available for runoff using a physically-based numerical model and found that western juniper decreased annual water available for runoff by 121 mm and 155 mm when compared to mountain

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3 72 sagebrush and low sagebrush, respectively. Evapotranspiration is typically higher in PJ  
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5 73 woodlands than adjacent grass-dominated areas (Dugas *et al.*, 1998; Heilman *et al.*, 2009; Liu *et*  
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7 74 *al.*, 2010; Banta and Slattery, 2011; Qiao *et al.*, 2015). PJ roots have been observed to extend  
8  
9 75 well below 1 m (McCole and Stern, 2007) and as deep as 20 m below the surface (McElrone *et*  
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11 76 *al.*, 2004). Recent geophysical surveys in a western juniper stand also showed evidence of  
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13 77 subsurface moisture extraction in saprolite 12 m below the surface (Niemeyer *et al.*, *accepted*).  
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15 78 PJ roots also extend laterally well beyond the canopy edge, typically from approximately one to  
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17 79 three times the height of the tree (Hall, 1952; Miller *et al.*, 2005; Barrett, 2007). Conversely,  
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19 80 although studies have noted a maximum rooting depth of 150 cm for sagebrush and grass, the  
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21 81 majority of roots are typically concentrated in the top 30 cm with minimal lateral roots in grasses  
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23 82 and lateral roots extending less than the height of vegetation in sagebrush canopies (< 100 cm)  
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25 83 (Hull and Klomp, 1974; Sturges and Trlica, 1978). PJ were also observed to transpire and hence  
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27 84 extract subsurface moisture in late winter or early spring when grasses are still dormant (Zou *et*  
28  
29 85 *al.*, 2014; Caterina *et al.*, 2014). As a result, a greater amount of soil moisture depletion occurs in  
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31 86 PJ cover compared to adjacent shrub or grassland cover (Zou *et al.*, 2014; Niemeyer *et al.*,  
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33 87 *accepted*). Based on these differences between PJ, shrub, and grassland cover, we would expect  
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35 88 increases (decreases) in PJ cover to decrease (increase) streamflow. In addition to process-based  
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37 89 evidence, ranchers in the northern Great Basin cite anecdotal evidence of streamflows either  
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39 90 decreasing with PJ expansion (Cockle, 2013) or likewise increasing with PJ removal (Kuhn *et*  
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41 91 *al.*, 2007; Merriman, 2008).

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50 92 Despite both process-based and anecdotal evidence, results from paired catchment studies  
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52 93 have not revealed consistent trends in streamflow changes with shifts in PJ cover. Seven paired-  
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54 94 catchment studies have assessed how PJ cover changes affect streamflows (Table 1). Of three  
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3 95 paired-catchment woody plant removal studies in Arizona, two produced marginal increases in  
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5 96 streamflow (3.2 – 9.7 mm year<sup>-1</sup>) (Robinson 1965; Myrick, 1971; Clary *et al.*, 1974; Baker,  
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8 97 1984). The third was a mesquite removal study, a tree that is structurally similar to PJ trees  
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10 98 (Huang *et al.*, 2009), and produced decreases in streamflow due to increases in grass cover which  
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12 99 reduced overland flow, the primary streamflow generation mechanism (Pierini *et al.*, 2014). Two  
13  
14 100 PJ paired-catchment studies in Texas revealed PJ removal producing marginal streamflow  
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16 101 increases of 2.4 and 3.8 mm year<sup>-1</sup> (Richardson *et al.*, 1979; Wright, 1996). In a third study in  
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18 102 Texas, changes in streamflow were inconclusive but a 25.5 mm year<sup>-1</sup> decrease in ET after PJ  
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20 103 removal was observed (Dugas *et al.*, 1998). Finally, a paired-catchment PJ removal study in  
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22 104 Oklahoma revealed a substantial increase in streamflow of 72 mm year<sup>-1</sup> (Zou *et al.*, 2014). By  
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24 105 comparing all seven studies, we see four of the seven woody plant removal studies initially  
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26 106 produced statistically significant increases in streamflow in the first two to five years, but the  
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28 107 increases in streamflow were typically small (< 10 mm year<sup>-1</sup>), except the study in Oklahoma.  
29  
30 108 Furthermore, the mesquite study revealed that the presence of mesquite increased runoff ratios  
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32 109 during large rain events, due to more bare ground with mesquite cover and greater overland flow  
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34 110 (Pierini *et al.*, 2014). This counter-intuitive drop in streamflow with reduction of woody plant  
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36 111 cover is confirmed by a large scale analysis of streamflow after widespread PJ mortality in the  
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38 112 southwestern U.S., which revealed decreases in streamflow after tree die-off, which was  
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40 113 attributed to decreases in overland flow due to increases in grass cover (Guardiola-Claramonte *et*  
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42 114 *al.*, 2011). In sum, there appears to be no consistent change in streamflow with changes from  
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44 115 grassland to woodland cover.  
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53 116 A key aspect of nearly all of these studies is that they were conducted in the Southwest,  
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55 117 Midwest, and Texas, where a relatively large portion of precipitation can occur in the summer  
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3 118 months (Jul-Sep), as indicated by the monsoon index, defined as the ratio of Jul-Sep precipitation  
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6 119 to annual precipitation (Table 1). One paired-catchment study was established in Oregon where  
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8 120 the monsoon index is low, and although the results suggested an increase in groundwater with  
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10 121 mechanical removal of juniper, the effects on streamflow were inconclusive due to poor  
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12 122 streamflow correlations between the treatment and control catchments (Deboodt, 2008). Based  
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14 123 on these studies, many have concluded that reduction of PJ species does not have the potential to  
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16 124 increase streamflow across the broad region of PJ woodland canopy cover (Hibbert, 1983;  
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18 125 Ffolliott and Gottfried, 2012). These conclusions are in contrast to aforementioned small-scale  
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20 126 process-based evidence and anecdotal evidence from land owners that reduction in PJ woodland  
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22 127 cover increases streamflow.  
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27 128 Discrepancies between the effect of PJ cover change on streamflow between small-scale  
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29 129 process-based investigations, anecdotal evidence, and paired-catchment studies may be the result  
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31 130 of differences in climate. Many have cited that both soil and climate factors will have a first  
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33 131 order control on whether vegetation change will alter deep drainage and/or streamflow (Thurrow  
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35 132 and Hester, 1997; Wilcox, 2002; Huxman *et al.*, 2005; Seyfried and Wilcox, 2006; Wilcox *et al.*,  
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37 133 2006). In regards to climate, Hibbert (1983) compared semi-arid vegetation removal studies and  
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39 134 asserted that approximately 450 mm of annual precipitation was required to produce an increase  
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41 135 in streamflow after vegetation removal. Two of the Arizona paired-catchment studies with  
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43 136 marginal increases in streamflow, Beaver Creek and Cibecue Ridge, receive an annual average  
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45 137 precipitation of 463 mm and 488 mm, respectively – both above the 450 mm year<sup>-1</sup> threshold  
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47 138 (Figure 1a). However, like much of the southwestern U.S., these areas receive much of their  
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49 139 precipitation during the summer monsoons (Table 1, Figure 1b). The timing of precipitation  
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51 140 matters because when precipitation occurs in the summer when soil water deficits and  
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3 141 evaporative demands are high, more water is lost to the atmosphere regardless of vegetation  
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6 142 cover than in the winter when evaporative demand is low (Snyder *et al.*, 2004; Seyfried *et al.*,  
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8 143 2005). Conversely, when precipitation falls in the winter when evaporative demand is low and  
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10 144 the soil moisture deficit may be low as well, more water may replenish the soil profile and  
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12 145 percolate beyond the root zone to recharge groundwater and potentially generate streamflow  
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15 146 (Comstock and Ehleringer, 1992). Most paired-catchment studies were limited to locations with  
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17 147 a larger portion of monsoonal precipitation (Figure 1b) whereas PJ cover spans areas with a large  
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19 148 range in a) total precipitation, b) precipitation seasonality (i.e. summer vs. winter dominated)  
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21 149 (Figure 1). The monsoon index is much lower (i.e. precipitation is more winter-dominated) in the  
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23 150 northern range of PJ woodland cover where there has been only one paired-catchment study and  
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25 151 this study did not have a well-established pre-treatment period (Deboodt, 2008). Therefore, there  
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27 152 is a potential for PJ cover change in these areas to have a different hydrological effect from what  
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29 153 has been observed in areas with higher monsoon index values and to produce a potentially  
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31 154 meaningful change in streamflow.  
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36 155         Given the combined importance of precipitation amount and seasonality, coupled with  
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38 156 large variations across PJ woodland cover in the western U.S., there is a need to assess how these  
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40 157 differences in precipitation climatology may produce changes in streamflow with shifts in PJ  
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42 158 cover. The general objective of this study is to understand how spatiotemporal differences in  
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44 159 climate control how PJ cover change will potentially alter streamflow across the entire extent of  
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46 160 PJ woodlands in the U.S. Our specific objectives are to assess: 1) how differences in the timing  
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48 161 and amount of precipitation moderates the potential for PJ cover change to alter water  
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50 162 availability via changes in evapotranspiration (ET), 2) how sensitive ET is to changes in plant  
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52 163 available water, 3) how climate factors (temperature and precipitation) moderate inter-annual  
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3 164 shifts in ET with PJ woodland cover change. The outcome of this work will help to inform land  
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5 165 managers where and when PJ cover change has the greatest potential to alter streamflow and  
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8 166 prompt further research into how vegetation, climate, and geology interact to control streamflow  
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11 167 dynamics in complex terrain.

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## 15 169 **2. Methods**

### 17 170 *Overview*

19  
20 171 To estimate how differences in climate affect how PJ cover change may potentially alter  
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22 172 streamflows, we simulated changes in ET with vegetation cover shifts across PJ woodland cover  
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24 173 in the western U.S. Streamflow is a function of precipitation minus evapotranspiration (P-ET).  
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27 174 Here we use changes in ET as a proxy for potential streamflow changes based on shifts between  
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29 175 grassland and woodland cover in the same area, thereby controlling for differences in geology  
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32 176 and catchment physiography.

### 34 177 *Modelling Approach*

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36 178 The Hydrologiska Byråns Vattenbalansavdelning (HBV) Light model (Seibert, 2005; Seibert and  
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38 179 McDonnell, 2010) which is closely based on the original HBV model (Bergström, 1995;  
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40 180 Lindström *et al.*, 1997), was selected because it is a relatively simple, daily, one-dimensional  
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43 181 conceptual hydrological model with explicit snow and soil storage routines (Figure 2). This  
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46 182 approach was used to simulate fundamental hydrological fluxes over a large area with a  
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48 183 reasonable degree of process accuracy to explore the sensitivity of land cover changes and  
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51 184 hydrometeorological variations on changes to ET. The HBV model has been successfully used to  
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53 185 test the impacts of changes in land cover on streamflow. For example Seibert and McDonnell  
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55 186 (2010) accurately simulated the differences in timing and amount of streamflow between conifer  
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3 187 forests and harvested catchments in the Pacific Northwest USA across both small ( $< 1 \text{ km}^2$ ) and  
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6 188 large ( $62 \text{ km}^2$ ) drainages. Likewise Brandt *et al.* (1988) similarly captured changes in streamflow  
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8 189 with HBV between forested and clear-cut catchments in central Sweden. And Seibert *et al.*  
9  
10 190 (2010) demonstrated that HBV could capture changes in timing and amount of streamflow in  
11  
12 191 conifer catchments in Eastern Washington USA before and after wildfire. Specific model  
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15 192 parameters are listed in Table 2 and based on these previous studies.

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17 193 The portion of the HBV model structure we used is given in Figure 2. The snow routine  
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19 194 develops a snowpack based on precipitation falling below a threshold temperature. Snow melt is  
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22 195 computed by a degree-day method. Surface water input is comprised of rainfall and snowmelt  
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24 196 that either replenish the root-zone soil storage or bypass the soil storage. When any surface water  
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27 197 input exceeds the threshold that is based on the degree of soil storage saturation (Figure 3), it  
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29 198 bypasses the soil storage. Note that all surface water input bypasses the soil water storage when  
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32 199 the soil is saturated (Figure 3). The total depth of simulated soil water storage is merely the root  
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34 200 zone soil water storage or the field capacity multiplied by the assumed depth of roots, referred to  
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36 201 here as the soil storage capacity (SC). The model therefore simulates both water that is either  
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38 202 mobile and ultimately becomes streamflow or is tightly bound and transpired by plants (e.g.  
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40 203 Brooks *et al.*, 2010). Since we are interested in water that will potentially generate streamflow,  
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43 204 we did not use either of the groundwater flow subroutines and assumed any water that bypasses  
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45  
46 205 the soil column, leaves the system (i.e. there is no groundwater-soil water feedback).  
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48 206 Furthermore, although subsurface storage is larger than what can be accessed by the roots, we  
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50 207 assume any water that bypasses the root zone is available for streamflow and therefore not  
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53 208 available for ET.

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3 209 Actual ET is estimated based on the potential ET and amount of water in soil storage.  
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5 210 Canopy interception is not explicitly simulated here but is implicitly included in the soil  
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8 211 subroutine via ET estimation (Seibert and McDonnell, 2010). Daily estimates of potential ET in  
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10 212 HBV model were estimated using the Hamon equation based on temperature and day length  
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12 213 (Hamon, 1961). Daily ET was assumed to equal the potential ET when the ratio of soil water  
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14 214 content to SC is greater than 0.5 (Table 2). When the ratio of soil water content to SC is below  
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16 215 0.5, simulated actual ET decreases linearly.  
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20 216 Differences in ET between vegetation play out based on differences in SC between  
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22 217 woodland and grassland cover, with a larger SC for woodland and therefore a greater amount of  
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24 218 SC storage available for ET. We chose to only simulate the soil storage available to plants  
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26 219 because we can assume that the water storage change from year to year is negligible and  
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28 220 therefore any water that percolates past the rooting depth would be available for streamflow  
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30 221 (Seyfried and Wilcox, 2006). Differences in rooting depth exist between shallow rooted grasses  
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32 222 and forbs that use moisture closer to the surface compared to PJ species that use both shallow  
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34 223 and deep moisture pools (Walker and Noy-Meir, 1982; Pelaez *et al.*, 1994; Jackson, 1996; Ryel  
35  
36 224 *et al.*, 2008; Breshears *et al.*, 2009; Flerchinger and Seyfried, 2014; Niemeyer *et al.*, *accepted*).  
37  
38 225 For example, Seyfried and Wilcox (2006) found that the rooting depth of grass and forb post-fire  
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40 226 vegetation was 140 cm, 60 cm less than pre-fire vegetation of dense shrubs, which resulted in a  
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42 227 shift in SC from 175 mm to 250 mm. Similarly, Williamson *et al.* (2004) found that water at a  
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44 228 tension available to roots persisted from 100 to 260 cm in grassland vegetation, but no water  
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46 229 available to roots persisted through the summer as deep as 150 cm in chaparral. To simulate  
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48 230 change from woodland to grassland vegetation for this relatively simple sensitivity assessment,  
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50 231 we simulated SC of 200 mm in woodland and 100 mm in grassland which represents reasonable  
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3 232 general differences between the two cover types. Although PJ cover often replaces sagebrush or  
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5 233 other shrubs, not grasslands, we feel a shift in SC of 100 mm is a good general approximation for  
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8 234 shifts in rooting depth between PJ cover and shrub or grasslands for the purposes of this  
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10 235 investigation. In reality, SC varies across both vegetation types and subsurface characteristics,  
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13 236 therefore we also assessed the sensitivity of ET across incremental differences in SC.

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15 237 HBV does not explicitly model overland flow generated by either infiltration excess or  
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17 238 saturation excess overland flow, but can still approximate shifts in ET from changes in land  
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20 239 cover. This model limitation may seem like an impediment to reasonable estimation of potential  
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22 240 changes in ET since overland flow may be the primary streamflow generation process in PJ  
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24 241 dominated areas in the southwestern U.S. (Guardiola-Claramonte *et al.*, 2011; Pierini *et al.*,  
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26  
27 242 2014). However, this does not impede the reasonable estimation of shifts in ET across PJ cover  
28  
29 243 for several reasons. First, in areas with summer monsoons HBV typically does underestimate  
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31 244 runoff, however this underestimation is usually small and HBV still simulates the timing and  
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34 245 magnitude of runoff from summer events relatively well (Konz *et al.*, 2007; Norman *et al.*, 2010;  
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36 246 Jia and Sun, 2012; Hong *et al.*, 2014; Hilgert *et al.*, 2015). Second, we are principally concerned  
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39 247 with water that bypasses the soil water storage via any process, whether it is overland flow,  
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41 248 shallow subsurface flow, or groundwater recharge. In other words, we are concerned with water  
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43 249 that is not available for plant water uptake. In HBV, the portion of water that bypasses soil water  
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46 250 storage increases with the degree of soil saturation (Figure 3). Likewise an increased degree of  
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48 251 soil saturation increases streamflows generated from precipitation events via overland flow  
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50 252 (Bonell and Gilmour, 1978; Pierini *et al.*, 2014), shallow subsurface flow (Freer *et al.*, 2002;  
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52  
53 253 McNamara *et al.*, 2005, Tromp-van Meerveld and McDonnell, 2006), or groundwater (McGlynn  
54  
55 254 *et al.*, 2004; Gabrielli *et al.*, 2012). The increase in overland flow with degree of soil saturation  
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3 255 even can occur in systems in the southwest U.S. dominated by infiltration excess overland flow  
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6 256 (Pierini *et al.*, 2014). We can therefore reasonably estimate shifts in ET in systems with diverse  
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8 257 streamflow generation mechanisms that are affected by the degree of soil storage saturation.  
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10 258 Third, since some water bypasses the soil storage even when the soil saturation is low (Figure 3),  
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12 259 potential runoff generation during summer monsoons when soils are dry can still be reasonably  
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14 260 approximated. For example, in HBV with a summer monsoon situation with the soil at 0.3 soil  
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16 261 saturation, the runoff ratio would be 0.027 (Figure 3). In the southwestern U.S. with predominant  
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18 262 summer monsoons, annual runoff ratios are typically very low, ranging from 0.002 to 0.15 (Gallo  
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20 263 *et al.*, 2013; Chang *et al.*, 2014) and summer ratios can be lower than in the winter (Clary *et al.*,  
21  
22 264 1974). Due to this, although with a large portion of precipitation occurring in the summer sites in  
23  
24 265 the southwestern U.S., streamflow can principally occur in the winter (Clary *et al.*, 1974). Taken  
25  
26 266 together, the model effectively simulates the removal of excess water that is not stored in the soil  
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28 267 profile and hence can be used to assess annual changes in ET resulting from land cover shifts.  
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### 33 34 268 *Model Implementation*

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36 269 We simulated daily snow and soil water dynamics at a 4 km resolution with the HBV model  
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38 270 across the full range of PJ cover in the western U.S. (Figure 1). PJ cover in the western U.S. was  
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40 271 based on the 1 km resolution national land cover map from USDA Forest Service (USFS) and  
41  
42 272 US Geological Survey (USGS) (2002). Daily 4 km PRISM mean temperature and precipitation  
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44 273 from 1981 to 2010 (Daly *et al.*, 1994) were used to drive the model. PJ land cover overlapped  
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46 274 with 33956 of the 4 km climate resolution grid cells. We simulated the daily water balance for  
47  
48 275 both woodland and grassland vegetation with the HBV model in each of these cells. To estimate  
49  
50 276 potential change in streamflow we calculated woodland and grassland cover P-ET, and then  
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52 277 subtracted woodland P-ET from grassland P-ET. In this calculation, the precipitation terms fall  
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3 278 out, resulting in woodland ET minus grassland ET ( $\Delta ET_{tree-grass}$ ). This term intuitively makes  
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5  
6 279 sense since shifts in streamflow from changes in vegetation cover is principally driven by ET  
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8 280 (Macdonald and Stednick, 2003; Huxman *et al.*, 2005; Hubbart *et al.*, 2007). For the SC  
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10 281 sensitivity analysis, we simulated daily ET for the 30 years across a range of SC from 50 to 250  
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12 282 mm (in 10 mm intervals) that corresponds to the range of grass and tree cover previously  
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14  
15 283 described. Since for this analysis each cell required 21 different simulations, compared to two  
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17 284 different simulations for the grassland and woodland analysis, we used systematic sampling to  
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19  
20 285 reduce the number of grid cells for this analysis to 2000.

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22 286 To evaluate model performance, we compared measured streamflow or groundwater  
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24 287 recharge from previous studies with the average simulated  $\Delta ET_{tree-grass}$  across all 30 years. There  
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26  
27 288 exists a combined five paired catchment and plot studies that assessed changes between  
28  
29 289 grassland and woodland cover that overlap with our simulated PJ cover which allowed for model  
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31  
32 290 comparison: Beaver Creek in Arizona, Reynolds Creek Experimental Watershed plot study in  
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34 291 Idaho, Blackland Prairie in Texas, and two studies in Seco Creek in Texas (Table 1). These sites  
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36 292 represent a range of conditions since the increase in annual streamflow or deep drainage for these  
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38  
39 293 studies ranged from 2.4 to 60 mm and the monsoon index ranged from 0.08 to 0.32. Although  
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41 294 some of these studies were not in land cover shifts from PJ woodland to grassland, the land cover  
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43  
44 295 shifts in all five of these studies are from deep-rooted woody plant cover to herbaceous plant  
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46 296 cover. We did not compare our simulations to the other studies in Table 1 because they either A)  
47  
48 297 did not overlap the PJ cover extent from the USGS and USDA (2002) data or B) in the case of  
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50  
51 298 Cibecue Ridge the two manuscripts on the study did not give values or gave conflicting values  
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53 299 for changes in streamflow.  
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3 300 To evaluate if ET differed between woodland and grassland cover, we conducted a two-  
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5  
6 301 tailed t-test between the 30 years of annual ET for the woodland and grassland synthetic datasets.  
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8 302 For each PJ cover cell, we determined statistical difference in ET between woodland and  
9  
10 303 grassland cover based on a p-value of 0.1. We also compared the percent change in ET between  
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12 304 woodland and grassland cover with the following equation:

$$\% \text{ change in ET} = [ET_{tree} - ET_{grass}] / ET_{grass}$$

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18 305 We also used linear regression to evaluate the correlation between the average annual  
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20 306 precipitation and monsoon index on the mean  $\Delta ET_{tree-grass}$ . This analysis was done across the  
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22 307 systematically sampled number of cells (2000 total). Furthermore, we evaluated the relationship  
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24 308 between annual precipitation and temperature on  $\Delta ET_{tree-grass}$ . For the 30 years of data, we  
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26 309 regressed  $\Delta ET_{tree-grass}$  against annual precipitation and temperature. To quantify the sensitivity of  
27  
28 310 ET to SC, for each year we calculated the slope of the linear regression of SC (50 to 250 mm)  
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30 311 and ET. We then averaged each slope across all 30 years.

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34 312 Finally, we calculated how regional differences in climate at the EPA Level III ecoregion  
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36 313 classifications (Bailey, 1983) produce differences in  $\Delta ET_{tree-grass}$ . To explore how within-Level  
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38 314 III differences due to complex terrain impact  $\Delta ET_{tree-grass}$ , we also compared  $\Delta ET_{tree-grass}$  across  
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40 315 Level IV ecoregion classifications in southern Idaho. For both ecoregion analyses, to determine  
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42 316 statistical differences in  $\Delta ET_{tree-grass}$  across regions, we compared annual  $\Delta ET_{tree-grass}$  across 30  
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44 317 years with a pair-wise t-test. Since we were testing multiple hypotheses, to reduce the chance of  
45  
46 318 obtaining false positive results, we adjusted p-values with the Bonferroni correction method. We  
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48 319 assumed statistical difference for the t-tests at p-value of less than 0.0001. We used a p-value of  
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50 320 less than 0.0001 because of the higher rate of statistical similarity between ecoregions with a p-  
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52 321 value of 0.1.  
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### 3. Results

#### *Model Evaluation*

Our simulations reasonably represented the observations from the five studies used in the model performance evaluation despite the relative simplicity and relatively coarse spatial resolution of the modeling approach. In the Beaver Creek study the observed increase in annual streamflow (9 year average) after juniper removal was 9.7 mm year<sup>-1</sup> (Table 1). In comparison, the simulated  $\Delta ET_{tree-grass}$  in the grid cell of their study was 7.6 mm year<sup>-1</sup> for the 30-year average climate data used, assuming static land cover conditions after conversion to grassland. In Texas, Richardson *et al.* (1979) observed a 2.4 mm year<sup>-1</sup> increase in streamflow after PJ removal and the average simulated  $\Delta ET_{tree-grass}$  was 6.9 mm year<sup>-1</sup>. At Seco Creek in Texas, Wright (1996) observed a 3.8 mm year<sup>-1</sup> average increase in streamflow, and Dugas *et al.* (1998) observed a  $\Delta ET_{tree-grass}$  of 25.5 mm year<sup>-1</sup>, compared to simulated  $\Delta ET_{tree-grass}$  of 3.1 mm year<sup>-1</sup>. Finally, in the study with the lowest monsoon index, Seyfried and Wilcox (2006) observed, in an area after a fire burned dense shrubs, a gain of 60 mm year<sup>-1</sup> compared to a simulated  $\Delta ET_{tree-grass}$  of 64.6 mm year<sup>-1</sup> in this same area.

#### *Differences in Woodland and Grassland ET*

Mapping distributed  $\Delta ET_{tree-grass}$  shows clear spatial trends (Figure 4). First, we see in the northern and western areas of the PJ range - California, Oregon, Idaho, Utah, Nevada, and Colorado – are the only states with large areas of  $\Delta ET_{tree-grass}$  ranging from 30 mm year<sup>-1</sup> to upwards of 60 mm year<sup>-1</sup> (Figure 4). The areas with statistically different ET between grassland and woodland cover are likewise predominantly in these states (Figure 4 inset). Second, we see that areas in the southern parts of PJ distribution – Arizona, New Mexico, and Texas – are



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3 345 dominated by differences in ET of less than 20 mm year<sup>-1</sup> (Figure 4). Similarly, almost no cells  
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5 346 in these areas have a statistically significant change in ET (Figure 4 inset). The map of percent  
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7 347 change in ET shows many sites of greater than 100% increase in ET with shifts from grassland to  
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9 348 woodland cover (Figure 5). Similar to mapped  $\Delta ET_{tree-grass}$ , these large increases in percent  
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11 349 change in ET are predominantly in the northern range of PJ cover. Conversely the southern  
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13 350 distribution of PJ cover is dominated by percent change in ET of less than 50% (Figure 5).  
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17 351 Comparing  $\Delta ET_{tree-grass}$  across Level III ecoregion classifications reveals some clear  
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19 352 trends (Figure 6). First, both the coastal ecoregions (Marine and Mediterranean) had the first and  
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21 353 second highest  $\Delta ET_{tree-grass}$  and were both statistically different than ecoregions with lower  
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23 354  $\Delta ET_{tree-grass}$  (Figure 6, Table 3). Second, the average  $\Delta ET_{tree-grass}$  for northern temperate  
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25 355 ecoregions (Temperate Steppe Mountains, Temperate Desert, and Temperate Desert Mountains)  
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27 356 ranged from 28.9 to 29.9 mm year<sup>-1</sup> and based on two-tailed t-tests were statistically similar to  
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29 357 each other but greater than other ecoregions (except the two coastal ecoregions) (Table 3).  
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31 358 Average  $\Delta ET_{tree-grass}$  in Prairie, Subtropical Steppe, Subtropical Desert, and Temperate Steppe  
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33 359 were all statistically similar populations and ranged from 3.1 to 9.3 mm year<sup>-1</sup>. Finally,  $\Delta ET_{tree-}$   
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35 360  $grass$  differences in Subtropical Steppe Mountains and Temperate Steppes was statistically similar  
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37 361 at 9.1 and 9.3 mm year<sup>-1</sup> respectively.  
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43 362 Although the average  $\Delta ET_{tree-grass}$  are very similar for the Level III ecoregion  
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45 363 classifications that exist for PJ cover in Idaho - Temperate Desert and Temperate Steppe  
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47 364 Mountains (Figure 6, Table 3), when further parsed into Level IV ecoregion classifications, there  
48  
49 365 are clear spatial differences related to complex terrain in the region (Figure 6). Specifically,  
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51 366 higher elevation ecoregions – High Forests and Shrublands, Semiarid Uplands, Semiarid  
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53 367 Foothills, Rockies Cold Valleys, Partly Forested Mountains, Wasatch Montane Zone, and  
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3 368 Wasatch Semiarid Foothills – were all statistically similar. These statistically similar Level IV  
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5 369 ecoregions also had the highest median  $\Delta ET_{tree-grass}$  ranging from 55.4 to 64.9 mm year<sup>-1</sup>.  
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8 370 Differences in  $\Delta ET_{tree-grass}$  for other ecoregions – High Lava Plateau, Semiarid Hills and Low  
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10 371 Mountains, Owyhee Uplands and Canyons, and Wet Valleys- were statistically less than the  
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12 372 highest group but still had median  $\Delta ET_{tree-grass}$  exceeding 40 mm year<sup>-1</sup>. Conversely the lower  
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15 373 elevation ecoregions - Upper Snake River Plain, Mountain Home Uplands, and Eastern Snake  
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17 374 River Plains - had the lowest median differences in  $\Delta ET_{tree-grass}$  ranging from 15.9 to 23.5 mm  
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19 375 year<sup>-1</sup>.

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22 376  *$\Delta ET_{tree-grass}$  and Timing and Amount of Precipitation*

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24 377 We compared the simulated differences in grassland and woodland ET to Hibbert's  
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26 378 (1983) threshold of 450 mm of annual gross precipitation necessary to produce an increase of  
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28 379 streamflow due to conversion from deep to shallow rooted vegetation (Table 4). Based on this  
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30 380 gross precipitation threshold, conversion from deep to shallow rooted vegetation would not have  
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32 381 the potential to increase in streamflow in over 54% of PJ cover area. Conversely, 46% of PJ  
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34 382 cover area could produce an increase in streamflow. In contrast, based on significance of t-tests  
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36 383 between woodland and grassland ET differences ( $\Delta ET_{tree-grass}$ ) at the p-value < 0.1 level, 70.6%  
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38 384 of PJ cover area would likely not show an increase in streamflow and 29.4% may see increases  
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40 385 streamflow. Many grid cells with precipitation greater than 450 mm year<sup>-1</sup> and hence having the  
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42 386 potential to increase streamflows do not meet the p-value less than 0.1 criteria, and *vice versa*  
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44 387 (Table 4). In total, only 13.9% of the grid cells met both the precipitation and statistical  
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46 388 significance criteria with a  $\Delta ET_{tree-grass}$  of 52.6 mm year<sup>-1</sup>, whereas 32.2% of the grid cells had  
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48 389 precipitation exceeding 450 mm year<sup>-1</sup> but with a p-value exceeding 0.1.  
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3 390 By plotting  $\Delta ET_{tree-grass}$  vs. monsoon index, we see a clear trend. As monsoon index  
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6 391 increases,  $\Delta ET_{tree-grass}$  decreases (Figure 7). The slope is significant ( $p < 0.0001$ ) for all (Figure  
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8 392 7a - black line), low (Figure 7a - red line), and high precipitation grid cells (Figure 7a -blue  
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10 393 line). When  $\Delta ET_{tree-grass}$  is normalized by annual precipitation at each grid cell, the differences  
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12 394 between wetter and drier grid cells all but disappear (Figure 7b).

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15 395 Maps of trends between  $\Delta ET_{tree-grass}$  and both precipitation and temperature also reveal  
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17 396 some clear spatial patterns. The majority of the grid cells (73%) exhibited a positive relationship  
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19 397 between precipitation and  $\Delta ET_{tree-grass}$  (Figure 8a), with the regression suggesting an increase in  
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21 398  $\Delta ET_{tree-grass}$  of 0.088 units for each unit of precipitation increase. This means at locations in these  
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23 399 grid cells, wetter years produced a greater  $\Delta ET_{tree-grass}$ . Higher values ( $> 0.2 \text{ mm mm}^{-1}$ ) occur at  
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25 400 grid cells in the middle Rockies (Utah and Colorado), Sierras (California and Western Nevada),  
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27 401 central Arizona, and parts of Oregon and Idaho (Figure 8a). Many of these grid cells had  
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29 402 regression slopes exceeding  $0.4 \text{ mm mm}^{-1}$  – meaning for a 1 mm increase in precipitation on  
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31 403 average resulted in a  $0.4 \text{ mm year}^{-1}$  increase of  $\Delta ET_{tree-grass}$ . The only areas with negative trends  
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33 404 less than  $-0.2 \text{ mm mm}^{-1}$  were in parts of Nevada, Arizona, New Mexico, and Texas (Figure 8a).

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36 405 Patterns in  $\Delta ET_{tree-grass}$  vs. temperature were less consistent across the PJ cover range  
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38 406 (Figure 8b). The median trend was  $1.4 \text{ mm } ^\circ\text{C}^{-1}$ , meaning the majority of the grid cells (56%)  
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40 407 increased  $\Delta ET_{tree-grass}$  with increases in temperature. Groups of cells in California, eastern  
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42 408 Arizona, New Mexico, Colorado, and Texas showed larger positive trends ( $> 20 \text{ mm } ^\circ\text{C}^{-1}$ ). This  
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44 409 means for a  $1 \text{ } ^\circ\text{C}$  increase in temperature,  $\Delta ET_{tree-grass}$  was on average  $20 \text{ mm year}^{-1}$  greater.  
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46 410 Conversely, some grid cells in western Arizona, in the western and northern Great Basin  
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48 411 (California, Oregon, Idaho), and parts of Colorado and Texas showed a negative relationship  
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3 412 between temperature and (Figure 8b), indicating decreasing  $\Delta ET_{tree-grass}$  values with increases in  
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5 413 temperature.

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8 414 *SC Sensitivity*

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10 415 Comparing the sensitivity of ET to changes in SC across the range of monsoon index  
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12 416 values and precipitation revealed some clear trends. First, we see that both the amount and  
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14 417 seasonality of precipitation (monsoon index) control how SC affects sensitivity of ET to SC  
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16 418 (Figure 9a). The grid cells where ET is most sensitive to changes in SC are both wetter and  
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18 419 characterized by more winter-dominated precipitation. Conversely, grid cells where ET is less  
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20 420 sensitive to changes in SC are both drier and more monsoonally-influenced regions with a larger  
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22 421 proportion of summer precipitation. By mapping the ET sensitivity to SC across the PJ cover, we  
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24 422 see that grid cells in the northern and western areas of PJ cover are most sensitive to SC, whereas  
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26 423 grid cells in the southern regions are less sensitive (Figure 9c). The notable exceptions are PJ  
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28 424 grid cells in Texas which were relatively sensitive to SC (Figure 9c).  
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36 426 **4. Discussion**

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38 427 Based on our simulations, shifts from PJ woodland to grassland cover will decrease ET  
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40 428 by  $38 \text{ mm year}^{-1}$  at 29.4% of grid cells that showed statistically significant changes in ET  
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42 429 between the two cover types. The implication is changes from woodland to grassland cover in  
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44 430 these areas will potentially increase in streamflow. These increases mainly occur on the northern  
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46 431 and western portions of the PJ range, primarily in California, Oregon, Idaho, Utah, Nevada, and  
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48 432 Colorado (Figure 4). These are areas where precipitation primarily occurs in the winter when  
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50 433 evaporative demand is low (Figure 1). Our method of determining if streamflow will potentially  
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52 434 change with PJ cover shifts is more conservative than the simple precipitation-based threshold  
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3 435 approach detailed by Hibbert (1983). Based on the annual precipitation cutoff of 450 mm, 46%  
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6 436 of the grid cells would have a significant change in streamflow as a result of shifts between PJ  
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8 437 woodlands and grassland. This is 156% greater than our estimate of the number of grid cells that  
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10 438 would have a significant change in streamflow based on statistical difference between woodland  
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12 439 and grassland cover ET (Table 4). Furthermore, our modeling approach was reasonably accurate,  
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14 440 covers a wide range of PJ cover, and represents the predominant processes affecting the water  
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16 441 balance in these systems.

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20 442 Clearly both the amount and timing of precipitation are important in determining if PJ  
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22 443 cover change will have a meaningful impact on streamflow. Hibbert (1983) was correct in that  
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24 444 precipitation amount is important as was confirmed in this study (Figure 9a). This study however  
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26 445 indicates that the seasonality of precipitation is just as important (Figure 7, 9a). This conclusion  
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28 446 is supported by the empirical investigation of Clary *et al.* (1974) in Arizona, which indicated that  
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30 447 although a large portion of the precipitation occurred in the summer, of the 21 years that  
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32 448 produced streamflow in a watershed with PJ cover, streamflow for 15 of those years only  
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34 449 occurred in the winter. Likewise, a plot-scale semi-arid water balance study using lysimeters  
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36 450 showed that increased groundwater recharge primarily occurred when a large amount of  
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38 451 snowmelt was available for infiltration (Gee *et al.*, 1994). A long-term water balance study of a  
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40 452 small semi-arid catchment, also suggested that when a large portion of the precipitation occurs  
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42 453 when the evaporative demand is low (i.e. in the winter), there is a greater chance that infiltration  
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44 454 will produce deep drainage below the rooting zone and generate streamflow (Chauvin *et al.*,  
45  
46 455 2011). In summary, the results of this study further support the finding that the timing of  
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48 456 precipitation is as important as the amount of precipitation when predicting the sensitivity of  
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50 457 streamflow to vegetation cover changes.  
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3 458 Wilcox *et al.* (2006) and Huxman *et al.* (2005) both identify climate and soil depth as  
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6 459 important factors in determining if vegetation cover change will alter streamflow. Similarly, our  
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8 460 research demonstrated that although climate was clearly important, depth of soil and how much  
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10 461 subsurface moisture is available to plants is likely also important. Shifts in SC drove differences  
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12 462 in ET across the two land cover types (Figure 9a). However, the impact of shifts in SC was  
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14 463 modulated by differences in the amount and timing of precipitation (Figure 9a). Specifically, in  
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16 464 sites with more winter-dominated precipitation, ET was more sensitive to shifts in SC. Therefore,  
17  
18 465 despite the importance of soil factors in controlling  $\Delta ET_{tree-grass}$ , climate is likely even more  
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20 466 important than subsurface characteristics. Confirming the greater importance of climate  
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22 467 compared to soil characteristics, Wine *et al.* (2015) demonstrated that climate was more  
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24 468 important than soil available water and rooting depth in determining deep drainage.  
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29 469 Despite the reasonable comparison of observed changes in streamflow and groundwater  
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31 470 recharge and simulated changes in ET from woodland to grassland conversion, this study  
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33 471 highlights the need for process-based studies to understand the ecohydrological process that  
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35 472 drive shifts in streamflow in semi-arid systems. HBV model is a highly simplified representation  
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37 473 of the hydrological system and does not fully represent hydrological processes that determine  
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39 474 how streamflow will change with changes in woodland cover. For example, in our study while  
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41 475  $\Delta ET_{tree-grass}$  was always positive (i.e. there was always a greater ET in woodland cover than  
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43 476 grassland cover), Guardiola-Claramonte *et al.* (2011) in the southwestern U.S. observed 30% -  
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45 477 80% decreases in streamflow for watersheds with 11% - 21% PJ die-off. One hypothesis they  
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47 478 posited for this counter-intuitive response was that PJ die-off increases herbaceous cover which  
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49 479 reduces overland flow – a primary runoff generation mechanism in much of the southwestern  
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51 480 U.S. A similar result was found by Pierini *et al.* (2014) when comparing two small catchments  
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3 481 (1.1 ha) in Arizona where runoff was greater in areas without woody vegetation for storms  
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5 482 smaller than 5 mm ( $< 0.1$  mm difference), similar for storms between 5 and 30 mm, and greater  
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8 483 in woody vegetation-dominated catchments compared to grass-dominated catchments by on  
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10 484 average  $1.4 \text{ mm event}^{-1}$  for events exceeding 30 mm in precipitation. They likewise posited that  
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12 485 with more woody vegetation, there is less herbaceous cover thereby increasing overland flow  
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15 486 during larger events. A plot scale study in Idaho revealed that indeed areas with western juniper,  
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17 487 compared to areas where juniper were removed, generated more overland flow due to decreases  
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20 488 in herbaceous cover (Pierson *et al.*, 2007). It should be noted that although our model always  
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22 489 simulated a decrease in ET and thereby potential increase in streamflow with shifts from  
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24 490 woodland to grassland cover, changes in streamflow in Guardiola-Claramonte *et al.* and Pierini  
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26 491 *et al.* are marginal and were observed in the southwestern U.S. where similarly marginal changes  
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28 492 in streamflow between woodland and grassland ET were simulated. HBV model does not  
29  
30 493 explicitly incorporate these near-surface infiltration-runoff processes such as attenuation of  
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32 494 overland flow with increased grass cover. Further observational and/or modeling studies could  
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34 495 further elucidate how runoff mechanisms (surface vs. groundwater) control the impact of PJ  
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36 496 cover change on streamflow.

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39 497 Another key knowledge gap is the actual root zone depth in PJ woodland cover. Field-  
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41 498 based work reveals PJ trees can access moisture deep in the subsurface. Breshears *et al.* (2009)  
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43 499 showed piñon pine (*Pinus edulis*), and one-seed juniper (*Juniperus monosperma*) accessing soil  
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45 500 moisture up to 3 m depth. A study in western juniper revealed those trees accessing moisture in  
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47 501 saprolite and weathered bedrock at depths of up to 12 m (Niemeyer *et al.*, *accepted*). Further  
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49 502 studies could quantify the timing and depth of soil moisture extraction in both PJ woodland and  
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51 503 grassland cover through water isotope studies or geophysical methods. In addition, questions  
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3 504 remain as to the extent of subsurface storage, especially across complex subsurface layers such  
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5 505 as saprolite and weathered bedrock (Befus *et al.*, 2011; Holbrook *et al.*, 2013). Likewise,  
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8 506 geophysical and other process-based studies could elucidate the extent of subsurface moisture  
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10 507 storage across diverse PJ cover terrain. Finally, SC in HBV model implicitly includes canopy  
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12 508 storage. Tree level interception loss is often greater than 35% in PJ woodlands (Collings, 1966;  
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15 509 Eddleman, 1986; Eddleman and Miller, 1991; Larsen, 1993; Owens *et al.*, 2006; Niemeyer *et al.*,  
16  
17 510 2016), therefore a model that explicitly incorporates canopy storage could further elucidate the  
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20 511 ecohydrologic processes that drive changes in streamflow with changes in PJ cover.

21  
22 512 Most assertions that PJ cover change would not alter streamflow are based on paired-  
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24 513 catchment studies. Paired-catchment studies for PJ cover, however, have been predominantly  
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27 514 conducted in areas where a large portion, if not the majority, of the precipitation occurring in the  
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29 515 summer (Figure 1b). These studies are therefore not representative of PJ cover in the entire  
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31  
32 516 western U.S. The one paired-plot study that overlapped our PJ cover range and was in a low  
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34 517 monsoon-index area in southwestern Idaho, revealed an 60 mm average annual increase in  
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36 518 groundwater recharge after grass and forb regeneration following the burning of dense shrubs  
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39 519 (Seyfried and Wilcox, 2006). Also, there was one paired-catchment study in Oregon, but the pre-  
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41 520 treatment correlation of streamflow between the control and treated catchments was not  
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43 521 statistically significant, preventing a robust post-treatment analysis of streamflow (Deboodt,  
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46 522 2008). However, this study did observe an increase in groundwater persistence and days of  
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48 523 streamflow in the dry season (June – November) in the catchment where PJ trees were felled.  
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50 524 These two studies corroborate the analysis of our ecohydrological simulations that catchments in  
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53 525 low-monsoon index locations are more likely show changes in streamflow with shifts between  
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55 526 woodland and grassland cover. To further test this hypothesis, two-paired catchment studies are  
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3 527 underway in the northern PJ cover/low-monsoon index range: the South Mountain paired-  
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5 528 catchment study in southwestern Idaho by the USDA-ARS in Boise, Idaho and the Porter  
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8 529 Canyon Experimental Forest administered by the USDA-ARS in Reno, Nevada (Figure 1).  
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10 530 Serendipitously, both studies began felling PJ trees in 2015. Based on our simulated  $\Delta ET_{tree-grass}$   
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12 531 at each site, the catchment where PJ were felled at South Mountain is expected to produce an  
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14 532 increase in streamflow of approximately  $69 \text{ mm year}^{-1}$ , whereas Porter Canyon should  
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16 533 experience an increase of roughly  $16 \text{ mm year}^{-1}$ . Considering how small the latter is, gains in  
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18 534 streamflow at the Porter Canyon site may be marginal or only increase in wetter years. After five  
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20 535 to ten years of post-treatment data have been collected, researchers will be able to further verify  
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22 536 the results of this study or identify shortcomings in this simple simulation-based approach that  
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24 537 will further advance our knowledge of semi-arid hydrology. Furthermore, it is critical that these  
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26 538 studies continue to collect data over the long-term. Roundy *et al.* (2014) observed after western  
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28 539 juniper removal, although initial increases in soil moisture occurred at 30 cm, the soil moisture  
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30 540 regime returned to pre-removal status within 3 years due to vegetation recovery. Long-term  
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32 541 studies like the two USDA-ARS studies can elucidate if and how long-term changes in  
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34 542 streamflow persist in winter-precipitation dominated environments and provide critical  
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36 543 information to land managers seeking to optimize ecosystem services in semi-arid systems.  
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## 45 545 **5. Conclusions**

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48 546 Although there are disagreements as to whether PJ cover change will alter streamflow,  
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50 547 this is likely due to the majority of paired-catchment PJ removal studies in Arizona and Texas  
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52 548 where precipitation is more synchronous with periods of high evaporative demand. PJ woodlands  
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54 549 in the southwestern U.S. receive a large portion of their precipitation in the summer, when  
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3 550 evaporative demand is high and tree or herbaceous cover will produce large evaporative and  
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6 551 transpiration losses. Our simulations revealed that indeed differences between woodland and  
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8 552 grassland ET are minimal in the southwestern U.S. and other areas with higher portions of  
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10 553 precipitation occurring in the summer. Conversely, in areas in the northern and western PJ range  
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12 554 where precipitation falls when the evaporative demand is low, ET often decreased substantially  
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14  
15 555 with shifts from woodland to grassland cover. The amount of precipitation is also clearly  
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17 556 important. Locations with low precipitation, even if the precipitation predominantly occurs in the  
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20 557 winter, yielded small changes in ET between the two cover types.  
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22 558 Our study reveals important information for managers. First, we found that by using the  
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24 559 previous criteria of Hibbert (1983) of 450 mm annual precipitation to determine of PJ cover  
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26 560 change will alter streamflow is likely not accurate over the full range of PJ cover. If managers  
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28 561 are concerned with changes in streamflow after shifts between woodland and grassland cover,  
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30 562 they should evaluate whether there is both substantial amount of precipitation and whether the  
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32 563 precipitation occurs when evaporative demand is low (i.e. in the winter). If this is true, then there  
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34 564 is an increased chance that PJ cover change will alter streamflow. Second, many of the grid cells  
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36 565 with a significant difference in ET between grassland and woodland cover occur in the northern  
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38 566 and western range of PJ cover, where warmer years produced decreased differences in ET  
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40 567 between woodland and grassland cover (Figure 8b). This means that with warmer temperatures  
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42 568 under future climate change, potential changes in streamflow with shifts from woodland to  
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44 569 grassland cover may be diminished. Although these shifts in future streamflow will also depend  
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46 570 on concomitant changes in the precipitation regime. Therefore in the future, any potential  
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48 571 increases in streamflow with PJ cover change may be diminished or lost altogether.  
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6 573 **Acknowledgement**

7  
8 574 This work was funded by the National Science Foundation's IGERT (Awards 0903479  
9  
10 575 and 1249400) and CBET (Award 0854553) programs. This work was also partially supported by  
11  
12 576 the Department of the Interior Northwest Climate Science Center (NWCSC) through a  
13  
14 577 Cooperative Agreement G14AP00153 from the United States Geological Survey (USGS).  
15  
16 578 Finally, this work was also partially funded by a NWCSC Doctoral Fellowship. The manuscripts  
17  
18 579 contents are solely the responsibility of the authors and do not necessarily represent the views of  
19  
20 580 NSF, NWCSC, USDA, or the USGS. This manuscript is submitted for publication with the  
21  
22 581 understanding that the United States Government is authorized to reproduce and distribute  
23  
24 582 reprints for Governmental purposes. We would also like to thank Bart Nijssen for his helpful  
25  
26 583 comments that improved the manuscript.  
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847 **Table Captions**

848 **Table 1:** Paired-catchment and one paired-plot studies of pinyon-juniper (PJ) or other woodland  
849 and shrub vegetation cover in the western U.S. Annual precipitation based on either annual  
850 precipitation given in each study or the 1981 - 2010 PRISM average annual precipitation.  
851 Monsoon index based on 1981 - 2010 PRISM derived monthly precipitation.

852  
853 **Table 2:** Model parameters used in the HBV model simulations.

854  
855 **Table 3:** Table of predicated increase and percent increase in  $\Delta ET_{tree-grass}$  between Level III  
856 ecoregions with standard deviations.

857  
858 **Table 4:** Table of predicted increase in streamflow with shifts from woodland to grassland cover  
859 based on the Hibbert (1983) 450 mm cutoff and prediction of significant gain in  
860 evapotranspiration (ET) based on two-tail t-test between woodland to grassland ET across 30  
861 years. In table cells are the percent of total cells for the given condition and 30-year average  
862 simulated difference in ET between woodland to grassland cover.

863 Tables

864

865 Table 1

866

location	citation	woodland vegetation	non-woodland/grassland vegetation	annual precipitation (mm)	monsoon index (mm/mm)	change in streamflow relative to control (mm)
Cibecue Ridge, AZ	Robinson (1965), Myrick (1971)	Pinyon-juniper woodland	herbaceous plants	488	0.37	increase
Beaver Creek, AZ	Clary <i>et al.</i> (1974), Baker (1984)	Pinyon pine and Utah Juniper	grasses	463	0.32	9.7
Oklahoma State University Range Research Station, OK	Zou <i>et al.</i> (2014)	75% encroached with ( <i>J. virginiana</i> or eastern red cedar)	grassland under 3-yr burn regime	900	0.27	72
Santa Rita Experimental Range, AZ	Pierini <i>et al.</i> (2014)	Mesquite ( <i>Prosopis velutina</i> ) (32%), with some grasses (44%) and bare soil (24%)	grasses (62%), bare soil (19%) and mesquite (19%)	458	0.49	conflicting*
Seco Creek, TX	Wright (1996)	<i>Juniperus ashei</i> .	grasses(?)	723	0.28	3.8
Seco Creek, TX	Dugas <i>et al.</i> (1998)	<i>Juniperus ashei</i> .	bunch grasses	723	0.28	conflicting**
Blackland Prairie, TX	Richardson <i>et al.</i> (1979)	honey mesquite ( <i>Prosopis juliflora</i> )	common broomweed ( <i>Xanthocephalum dracunculoide s</i> ) and needlegrass ( <i>Stipa spp.</i> )	550	0.19	2.4
Camp Creek, OR	Deboodt (2008)	Western Juniper ( <i>Juniperus occidentalis</i> )	mountain big sagebrush ( <i>Artemisia tridentata</i> ), green and gray rabbitbrush ( <i>Chrysothamnus viscidiflorus</i> and <i>C. nauseosus</i> ), and bitterbrush	350	0.13	streamflow amount inconclusive, late season flow increase by 225%, 41 more days of recorded groundwater

			( <i>Purshia tridentata</i> ), Idaho fescue ( <i>Festuca idahoensis</i> ), bluebunch wheatgrass ( <i>Agropyron spicatum</i> ), Sandberg bluegrass ( <i>Poa secunda</i> ), prairie junegrass ( <i>Koeleria cristata</i> ) and Indian ricegrass ( <i>Oryzopsis hymenoides</i> ).			
Reynolds Creek Experimental Watershed, ID	Seyfried and Wilcox (2006)	mountain big sagebrush ( <i>Artemesia tridentata</i> ) bitterbrush ( <i>Purshia tridentata</i> ), mountain snowberry ( <i>Symphoricarpos oreophilus</i> ) and western juniper ( <i>Juniperus occidentalis</i> )	bluebunch wheatgrass ( <i>Agropyron spicatum</i> ) and Sandberg bluegrass ( <i>Poa secunda</i> )	550	0.08	60 mm (deep drainage)
South Mountain, ID	USDA-ARS	Western Juniper ( <i>Juniperus occidentalis</i> )	low sagebrush ( <i>Artemesia arbuscula Nutt.</i> ) and mountain big sagebrush ( <i>Artemesia tridentata Nutt.</i> )	768	0.06	TBD***
Porter Canyon Experimental Watershed, NV	USDA-ARS	Piñon ( <i>Pinus</i> spp.) and juniper ( <i>Juniperus</i> spp.)	sagebrush	351	0.15	TBD***

867 \*'conflicting' because the 4 years immediately after the mesquite removal, streamflow was larger in control  
 868 watershed, but in two subsequent 10 year periods (1980-1989, 2000-2012), streamflow was greater in the treatment  
 869 watershed  
 870 \*\*'conflicting' because only large events produce runoff, and the two primary large events produced an increase and  
 871 decrease  
 872 \*\*\* 'TBD' because the treatment to the experimental catchments have only recently been conducted, and analysis of  
 873 the change in streamflow has not yet occurred

874 **Table 2**

875

parameters	unit	values used
<b>snow routine</b>		
degree-day factor	mm °C <sup>-1</sup>	2
snow threshold temperature	°C	0
snow water holding capacity	-	0.05
refreezing coefficient	-	0.05
<b>soil routine</b>		
SC	mm	50 – 250, 100 (grassland), 200 (woodland)
threshold of reduction of evaporation	-	0.5
shape coefficient		3

876

877 **Table 3**

878

	<b>% area</b>	<b>average <math>\Delta ET_{tree-grass}</math> and standard deviation (mm year<sup>-1</sup>)</b>	<b>average percent increase in ET between woodland and grassland cover and standard deviation</b>
<b>Marine – Mixed Forest</b>	1.0%	49.8 +/-20.2	50.4% +/-23.0%
<b>Prairie</b>	1.6%	5.6 +/-1.3	6.8% +/- 3.0%
<b>Mediterranean</b>	0.01%	10.7 +/-0.2	3.6% +/-2.6%
<b>Mediterranean – Mountain</b>	7.0%	34.2 +/-13.8	33.2% +/-21.9%
<b>Subtropical Steppe</b>	17.9%	6.2 +/-7.9	20.2% +/-18.8%
<b>Subtropical Steppe Mountains</b>	14.7%	9.1 +/-10.3	18.6% +/-16.3%
<b>Subtropical Desert</b>	4.7%	3.1 +/-4.8	18.6% +/-19.9%
<b>Temperate Steppe</b>	2.0%	9.3 +/-12.1	27.2% +/-28.2%
<b>Temperate Steppe Mountains</b>	19.3%	29.9 +/-18.7	34.9% +/-30.7%
<b>Temperate Dessert</b>	20.0%	29.5 +/-20.5	70.8% +/-36.6%
<b>Temperate Dessert Mountains</b>	11.6%	28.9 +/-17.8	69.4% +/-31.3%

879



880 **Table 4**

881

	< 450 mm	> 450 mm	sum ( $\Delta ET_{tree-grass}$ p-value)
$\Delta ET_{tree-grass}$ p-value > 0.1	38.9% (9.4 mm)	32.2% (18.9 mm)	70.6% (13.7 mm)
$\Delta ET_{tree-grass}$ p-value < 0.1	15.6% (24.6 mm)	13.9% (52.6 mm)	29.4% (37.8 mm)
sum (450 mm)	54.0% (13.6 mm)	46.0% (29.1 mm)	

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For Peer Review

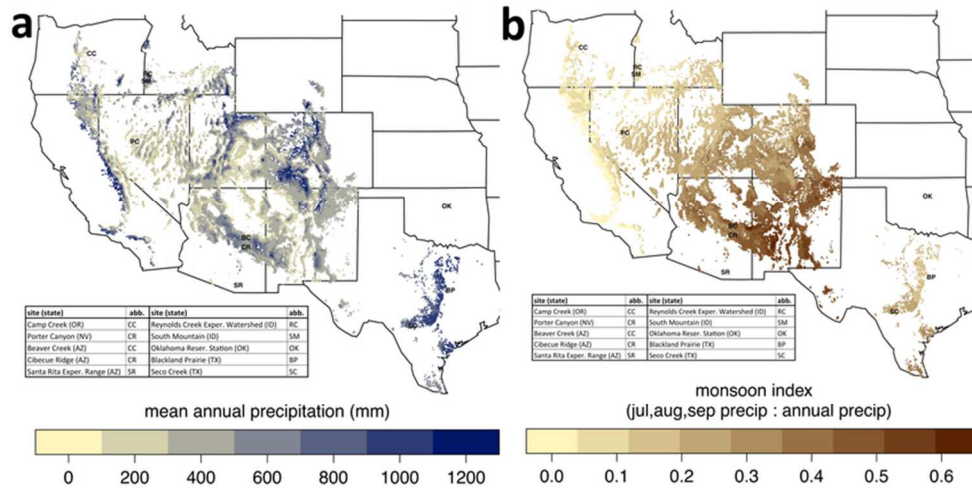


Figure 1. Maps of a) average mean annual precipitation and b) monsoon index, across pinyon-juniper cover in the western U.S. based on USGS classification (USGS, 2002). Annual precipitation based on 30 year PRISM average. Monsoon index is the fraction of the annual precipitation that occurs in July, August, and September and is based on monthly PRISM precipitation over 30 years.

36x18mm (600 x 600 DPI)

Review

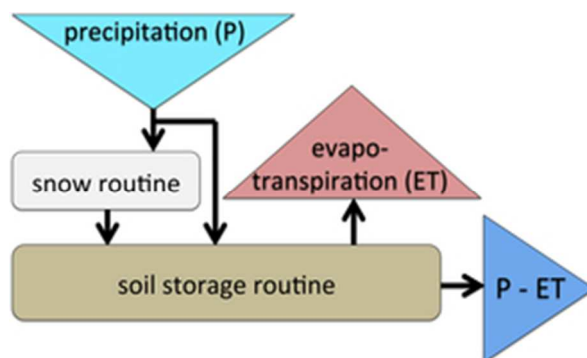


Figure 2. General HBV model structure (modified from Seibert and McDonnell, 2010). Forcing data includes daily precipitation and temperature. The maximum soil storage capacity (SC) of the soil subroutine increases or decreases with rooting depth.

12x7mm (600 x 600 DPI)

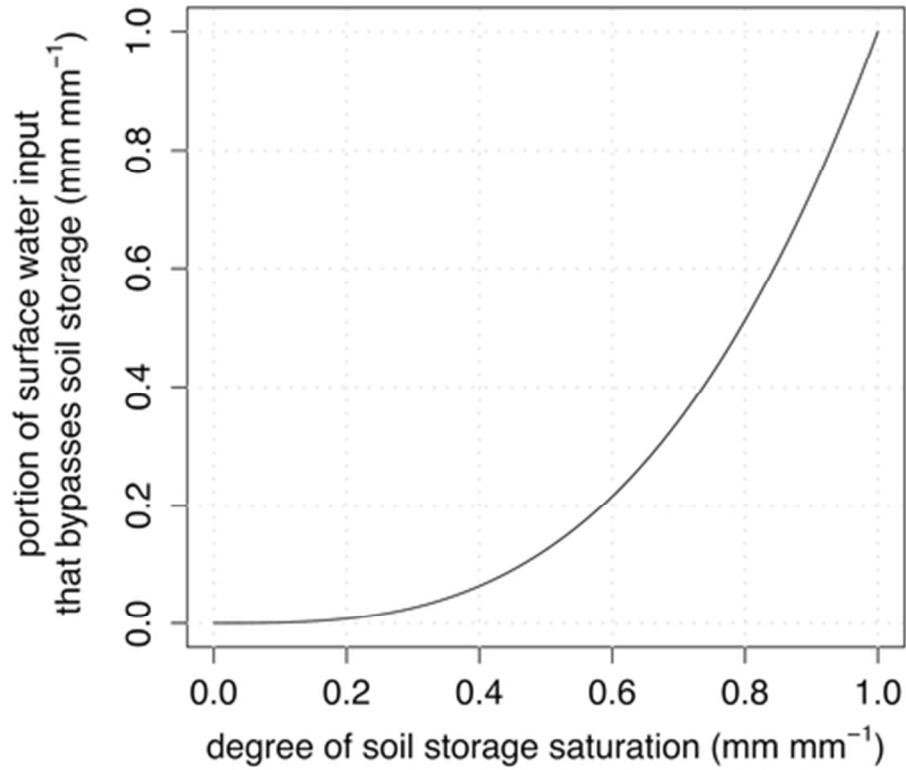
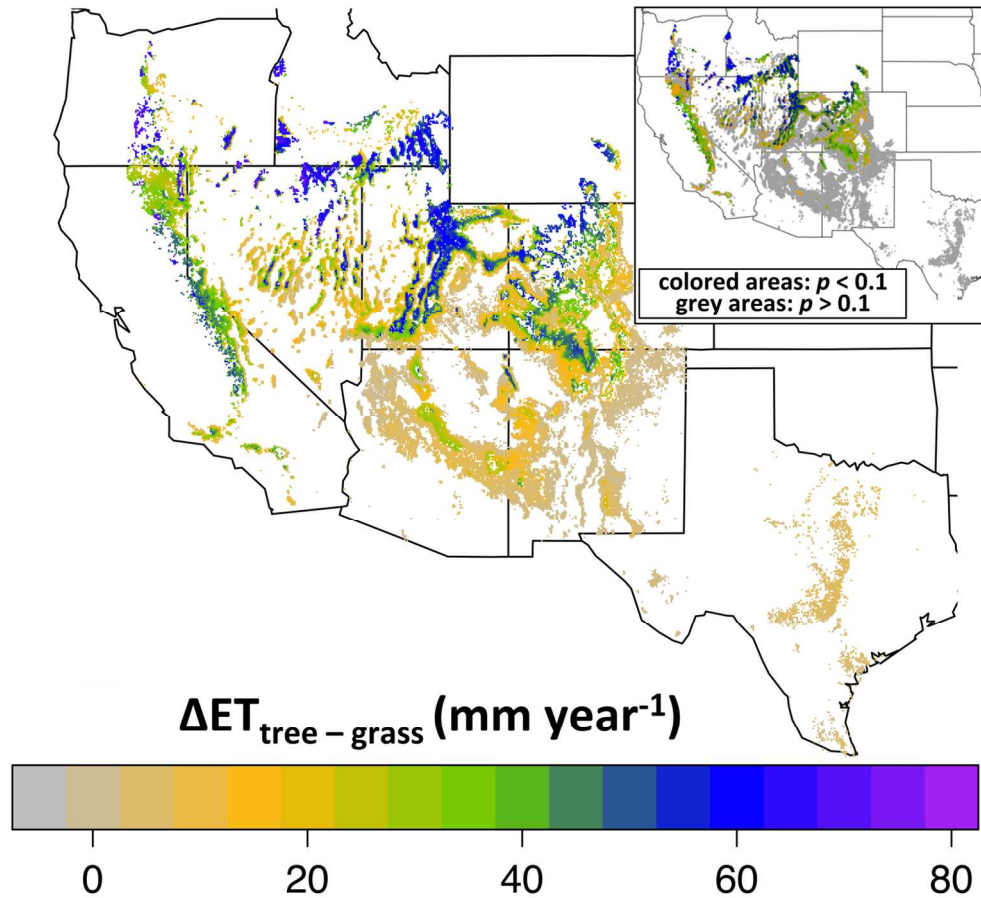


Figure 3. The portion of the surface water input (rain and snowmelt) that bypasses the soil storage based on the degree of soil storage saturation. Relationship based on Seibert (2005).

19x18mm (600 x 600 DPI)





38 Figure 4. Maps of difference in average annual evapotranspiration (ET) between woodland and grassland  
39 ( $\Delta ET_{\text{tree-grass}}$ ). Inset figure is the same map except with grid cells with p-values > 0.1 for t-test between 30  
40 years of ET between woodland and grassland cover plotted in grey, and grid cells with p-values < 0.1  
41 plotted in the corresponding  $\Delta ET_{\text{tree-grass}}$  color.

42 67x62mm (600 x 600 DPI)

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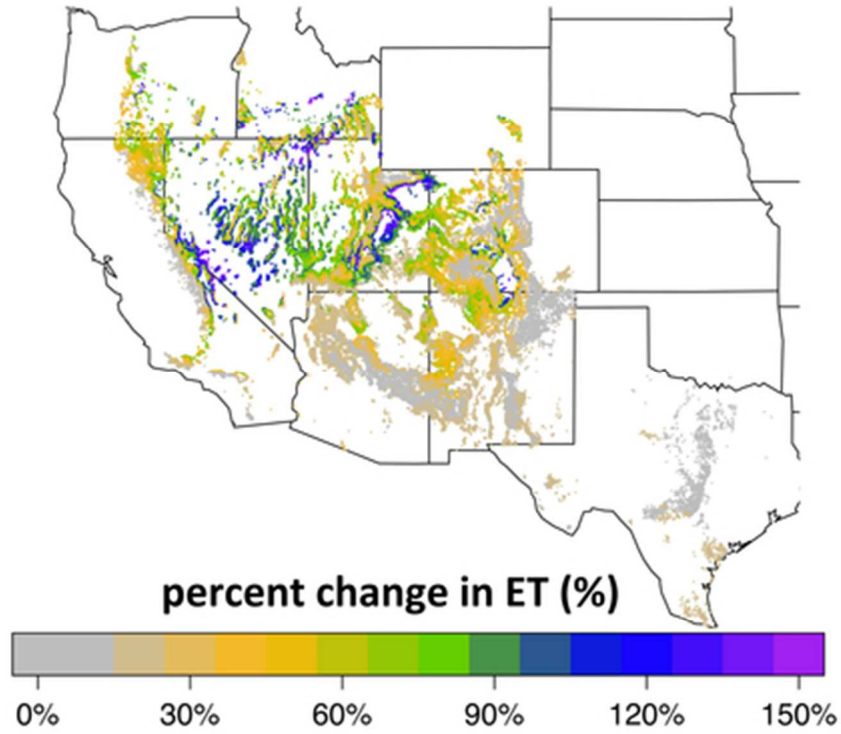


Figure 5. Map of percent difference in ET between woodland and grassland cover.

18x15mm (600 x 600 DPI)

review

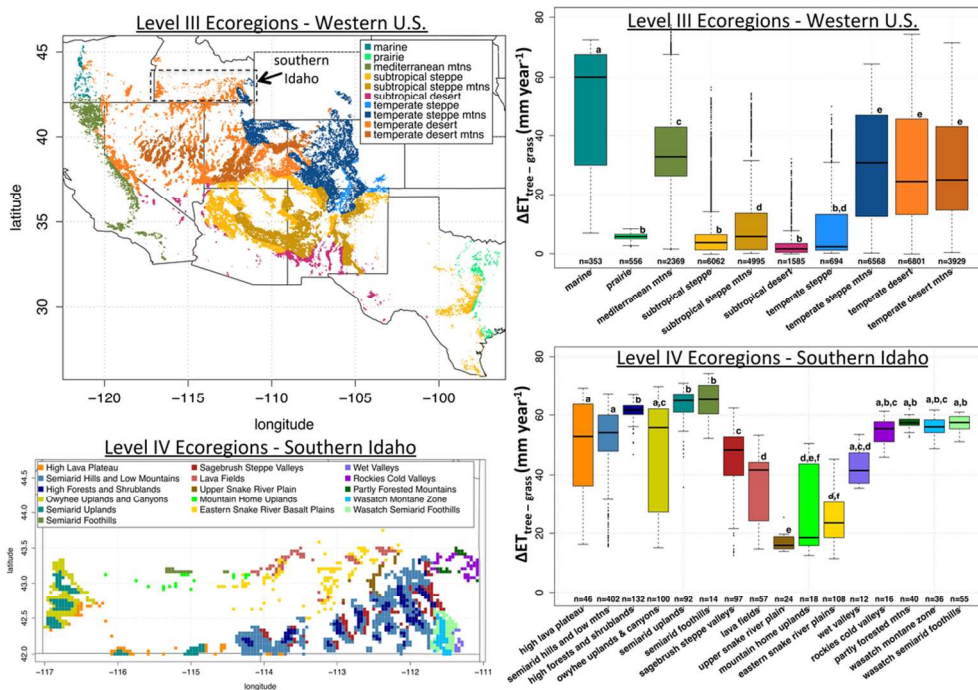


Figure 6. PJ cover within level III ecoregions across the western U.S. and within level IV ecoregions in southern Idaho. Ecoregions divisions based on Bailey (1983). Corresponding boxplots are change in 30-year average ET between woodland and grassland ( $\Delta ET_{tree-grass}$ ) across the locations within each ecoregion. Statistical difference (similarity) in  $\Delta ET_{tree-grass}$  between ecoregions indicated by different (the same) letter and determined by t-tests between ecoregions, based on p-value < 0.0001 with the Bonferonni correction method.

50x35mm (600 x 600 DPI)



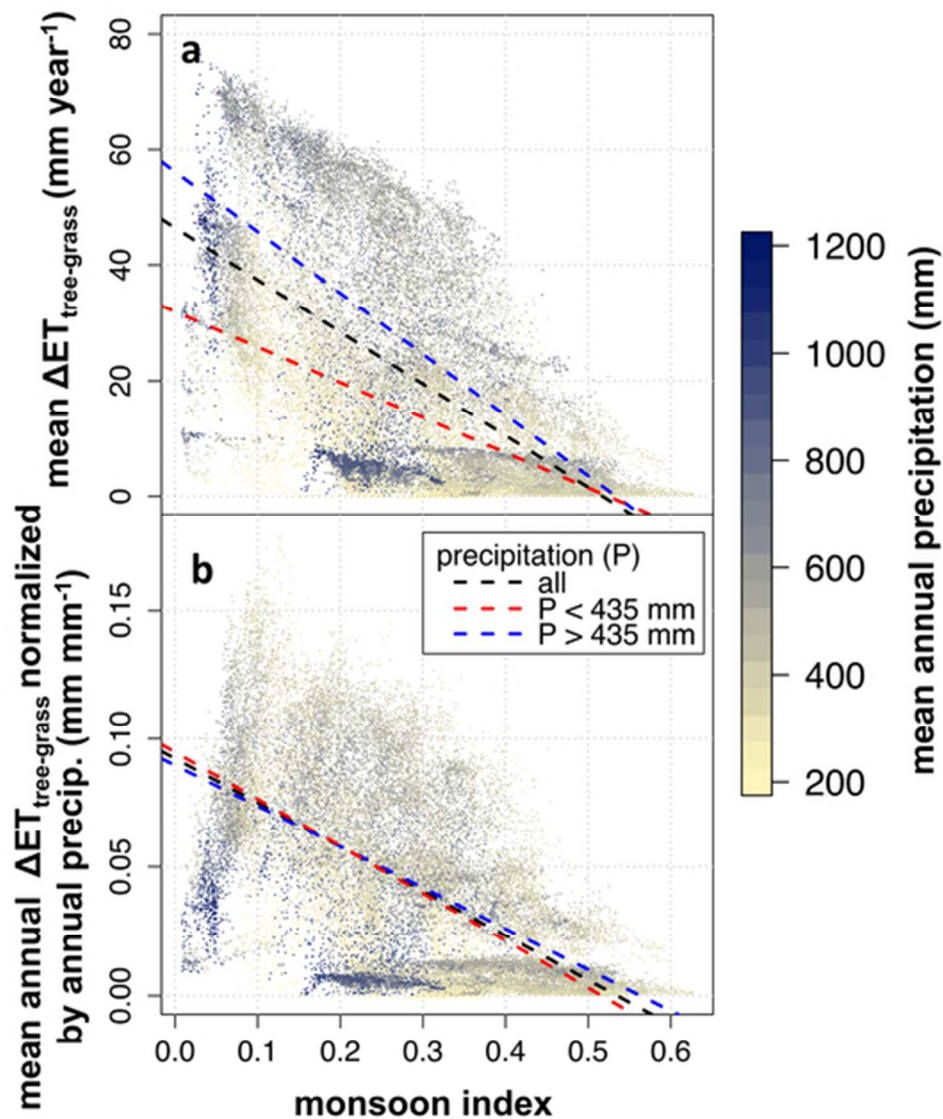


Figure 7. a) Mean annual difference in evapotranspiration (ET) between woodland and grassland cover ( $\Delta ET_{\text{tree-grass}}$ ) and b) mean annual  $\Delta ET_{\text{tree-grass}}$  normalized by average annual precipitation vs. monsoon index. Color denotes mean annual precipitation. Regression lines are cells (black line) and separated out by mean annual precipitation greater (blue line) or less than (red line) median precipitation of 435 mm. All regression lines are significant ( $p < 0.0001$ ). For the top plot (a),  $R^2$  values were 0.38, 0.41, 0.37 for all cells, precipitation > 435 mm, and precipitation < 435 mm respectively. For the top plot (b),  $R^2$  values were 0.37, 0.30, 0.44 for all cells, precipitation > 435 mm, and precipitation < 435 mm respectively.

23x27mm (600 x 600 DPI)

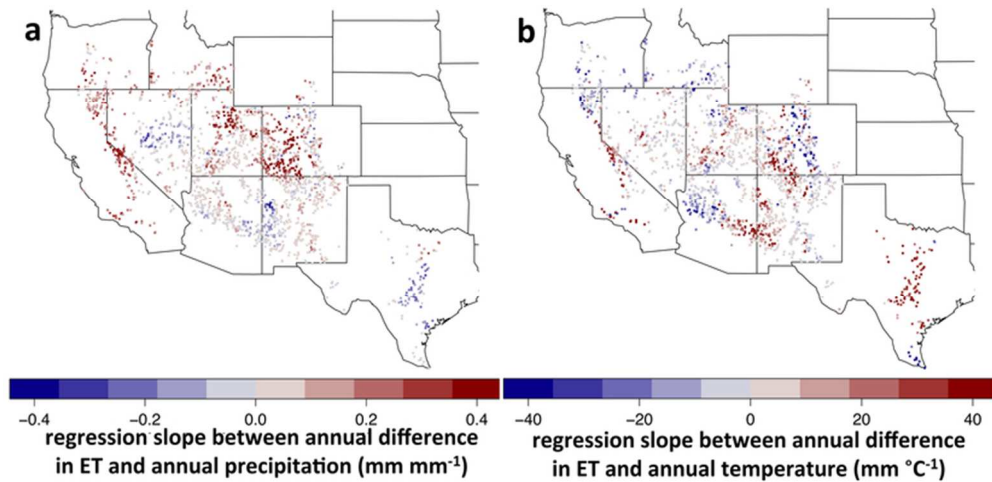


Figure 8. Maps of a) regression slope between annual  $\Delta ET_{\text{tree-grass}}$  and annual precipitation within each site and b) regression slope between annual  $\Delta ET_{\text{tree-grass}}$  and annual temperature within each site.

35x17mm (600 x 600 DPI)

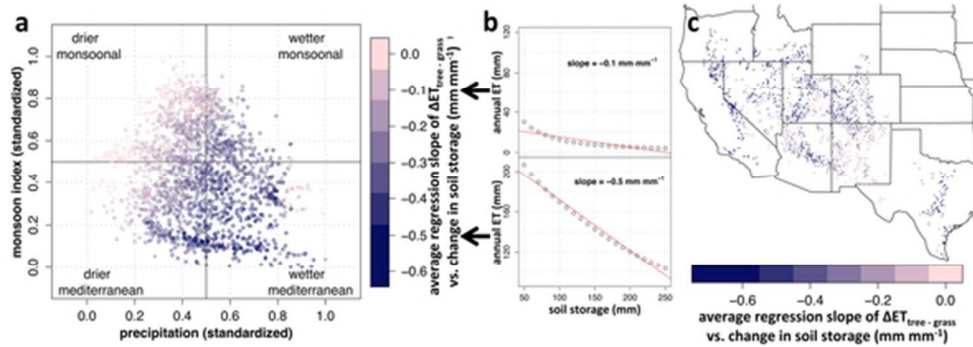


Figure 9. Maps of a) average mean annual precipitation and b) monsoon index, across pinyon-juniper cover in the western U.S. based on USGS classification (USGS, 2002). Annual precipitation based on 30 year PRISM average. Monsoon index is the fraction of the annual precipitation that occurs in July, August, and September and is based on monthly PRISM precipitation over 30 years.

25x9mm (600 x 600 DPI)